



DAC simulation on Matlab

TELECOM201 - Tutorial lab

Germain Pham - Chadi Jabbour

dpham@telecom-paris.fr

Jan. 2024



Tutorial outline

Previously

Homeworks feedbacks

DAC concept

Matlab framework : Discrete time - Analogue amplitude

Modeling hardware non-idealities

Conclusion

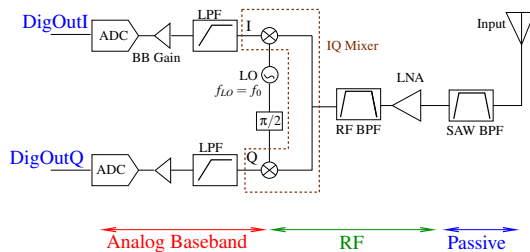


Section outline

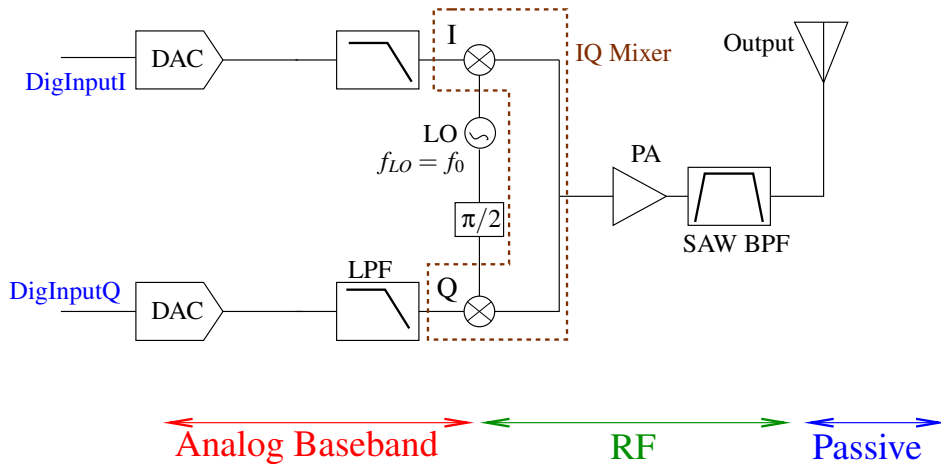
Previously

Previously

- Matlab basics (plots, vectors,...)
- ADC operations
 - Sampling (inherent)
 - Quantization (floor, fix, round,...)
- Spectrum visualization (fft, bins, PSD, fftshift,...)
 - SNR computation



Today



Code snippets



Pretty display vs raw code

Due to processing for display, the code snippets can not be directly copied and pasted to Matlab terminal. You must copy/paste into a text editor and just remove the extra `\n` before using the code.

Snippets are available at: <https://gitlab.telecom-paris.fr/-/snippets/191>



Section outline

Homeworks feedbacks

Homeworks feedbacks

Warning

- Homeworks are mandatory !

- Dumb mandatory rules:
 - debug code when theoretical plot does not match empirical plot
 - **it is useless to make the DAC homework if the ADC homework is not working**
 - check code executability before uploading (why not send to friend before?)
 - write a README file when you have more than 3 files

- Advices for future works:
 - generate signals outside from ADC/DAC
 - define a PSD function (and a possibly PSDdB)
 - superimpose plot lines when you compare theoretical with empirical

Section outline

DAC concept

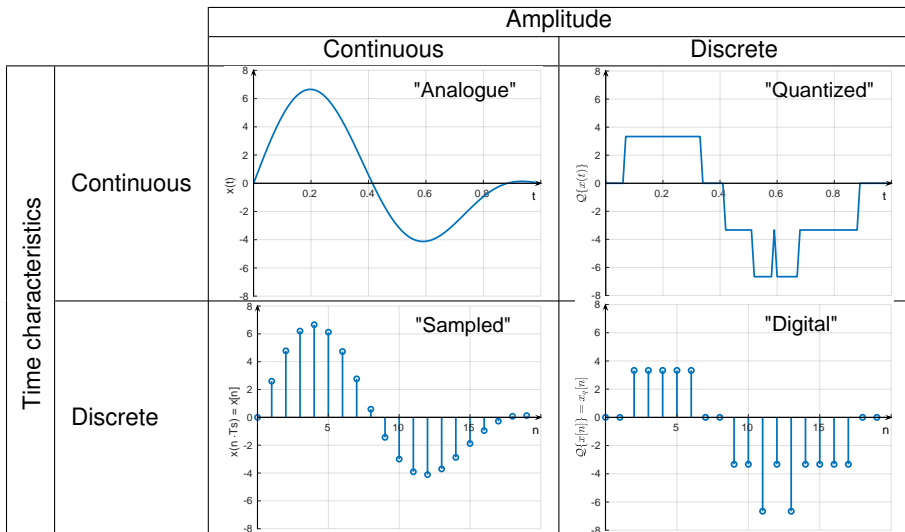
Recall : Amplitude and/or time continuity

DAC big picture

DAC waveforms and ideal reconstruction concept

DAC concept

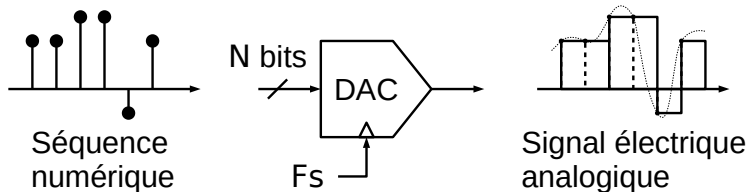
Recall : Amplitude and/or time continuity



DAC concept

DAC big picture

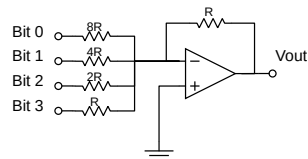
■ Ideal/theoretical model



- Ensure quantization
- "**unsampling**"[†] : make continuous-time
- "unquantization"[†]
 - not always, depends on what you include in the DAC

[†]: Barbarism...!

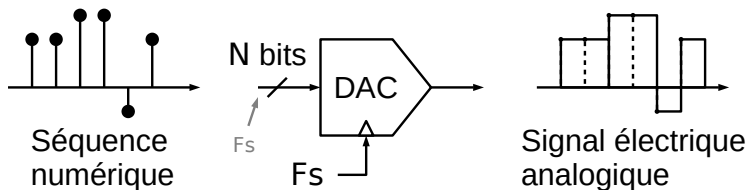
■ A simple implementation example



DAC concept

DAC waveforms and ideal reconstruction concept

- Basic DAC model: ZOH(rectangular pulse/boxcar function)



- Signal equations:

$$x[n] \longrightarrow x_D(t) = \sum_n x[n] \delta(t - nT_s) \quad (1)$$

$$y_{\text{ZOH}}(t) = \sum_n x[n] h_{\text{ZOH}}(t - nT_s) = x_D * h_{\text{ZOH}}(t) \quad (2)$$

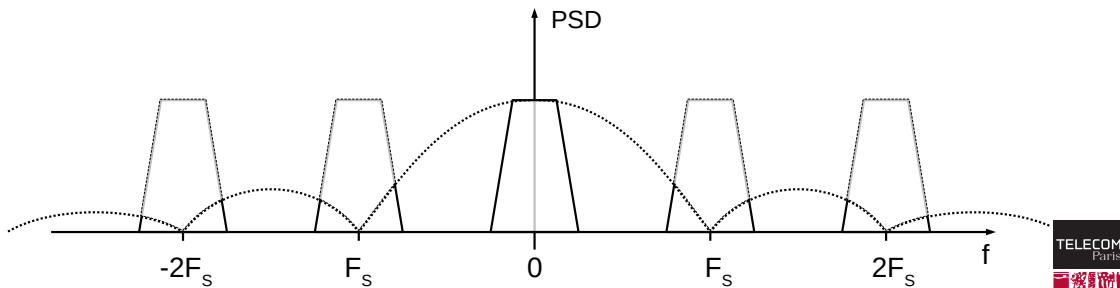
$$\text{with: } h_{\text{ZOH}}(t) = \text{rect}(t)$$

DAC waveforms and ideal reconstruction concept

- Basic DAC model: ZOH (rectangular pulse/boxcar function)
- Theoretical spectrum

$$Y_{\text{ZOH}}(f) = X_D(f) \times H_{\text{ZOH}}(f) \quad (4)$$

$$\text{with: } \begin{cases} X_D(f) &= \sum_k X(f - kF_s) \\ H_{\text{ZOH}}(f) &= \text{sinc}(\pi f) \end{cases} \quad (5)$$

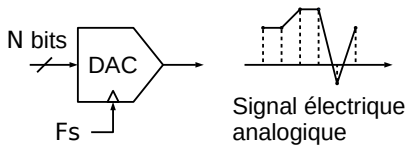


DAC concept

DAC waveforms and ideal reconstruction concept

■ Interpolating DACs= **DAC + filter**

- 1st order interpolation ("FOH" †)



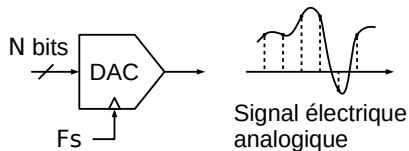
■ Signal equations

$$y_{\text{FOH}}(t) = \sum_n x[n] h_{\text{FOH}}(t - nT_s) \quad (6)$$

$$y_{\text{FOH}}(t) = x_D * h_{\text{FOH}}(t) \quad (7)$$

$$\text{with: } h_{\text{FOH}}(t) = \text{rect} * \text{rect}(t) \quad (8)$$

- Ideal interpolator (sinc)



■ Signal equations

$$y_{\text{sinc}}(t) = \sum_n x[n] h_{\text{sinc}}(t - nT_s) \quad (9)$$

$$y_{\text{sinc}}(t) = x_D * h_{\text{sinc}}(t) \quad (10)$$

$$\text{with: } h_{\text{sinc}}(t) = \text{sinc}_{T_s}(t) \quad (11)$$

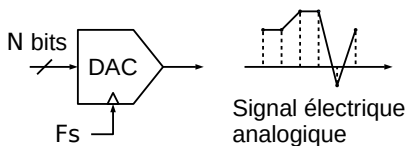
†: Barbarism...!

DAC concept

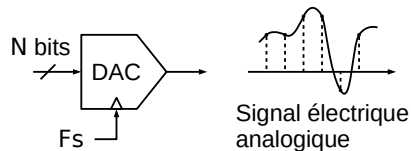
DAC waveforms and ideal reconstruction concept

■ Interpolating DACs

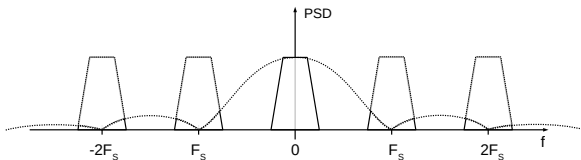
- 1st order interpolation ("FOH" †)



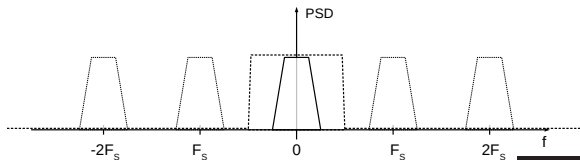
- Ideal interpolator (sinc)



■ Theoretical spectrum



■ Theoretical spectrum



†: Barbarism...!

Section outline

Matlab framework : Discrete time - Analogue amplitude

- Practical approach to model continuous time signals

- Matlab efficient implementations

- Additional comments

- Spectral analysis

Matlab framework : Discrete time - Analogue amplitude

Practical approach to model continuous time signals

Discrete time - Analogue amplitude

It is impossible to generate continuous-time signal on Matlab. Only discrete-time signals can be produced.

Solution : Huge (over-)sampling

Simulation sampling frequency

Various notations : $F_{S,sim}$, continuousTimeSamplingRate, $1/Ts_Cont$,...

Oversampling

Confusion ⚠

It is important not to confuse the notion of oversampling in this case which is just a trick to emulate continuous time operation with the notion of oversampling in analog-to-digital converters which reflects a real hardware choice.

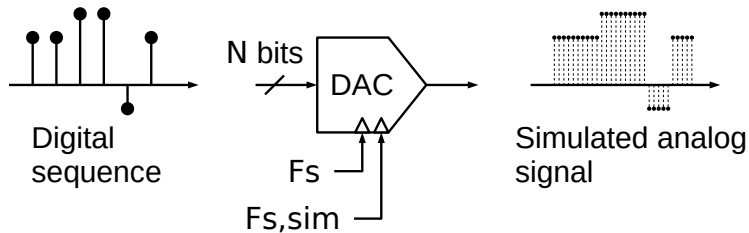
Situations requiring attention

- Be careful with aliasing of high order harmonics
- Works only for smooth signals (no PWM-like signals)

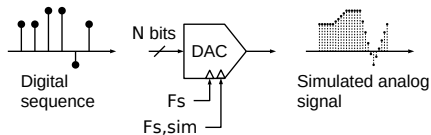
Matlab framework : Discrete time - Analogue amplitude

Practical approach to model continuous time signals

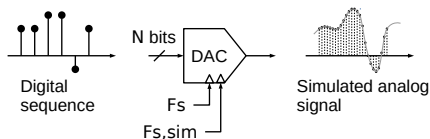
■ ZOH DAC



■ FOH DAC



■ Ideal sinc DAC



Matlab efficient implementations

Please note

- Implementation variability
 - There is no unique way to implement each DAC waveforms on Matlab.
- For sake of simplicity, we ignore quantization here

Matlab efficient implementations

■ ZOH

Using kron

```
% Time parameters
Ts    = 0.1; OSR = 5;
t      = 0:Ts:3; t=t(:);
% DAC input
x      = cos(2*pi*t);
% DAC output
y_ZOH = kron(x,ones(OSR,1));
% (Caution: y_ZOH is longer than t_OSr...!)
% New time parameters
Tsim  = Ts/OSR;
t_OSr = t(1):Tsim:t(end); t_OSr=t_OSr(:);
% Plots
stem(t_OSr,y_ZOH(1:length(t_OSr))) ; hold on
stem(t,x,'k','linewidth',2)
```

Using filter

```
% Upsample (zero padding)
y_upsample = zeros(length(x)*OSR,1);
y_upsample(1:OSR:end) = x;
% (Caution: y_upsample is longer than t_OSr)

% DAC response
h_ZOH = ones(OSR,1);
% Filter
y_ZOH_bis = filter(h_ZOH,1,y_upsample);

% Plots
stem(t_OSr,y_ZOH_bis(1:length(t_OSr)))
hold on
stem(t,x,'k','linewidth',2)
```

You can also use `repmat` (but you have to handle a reshape); and also `interp1`

Matlab efficient implementations

■ FOH

Using filter

```
% Upsample (zero padding)
y_upsample = zeros(length(x)*OSR,1);
y_upsample(1:OSR:end) = x;
% (Caution: y_upsample is longer than t_OSR)

% DAC response
h_FOH = conv(ones(OSR,1),ones(OSR,1));
h_FOH = h_FOH/max(abs(h_FOH));
% Filter
y_FOH = filter(h_FOH,1,y_upsample);

% Plots
figure
% (Caution, there is a transient !)
stem(t_OSR,y_FOH(OSR:end)) ; hold on
stem(t,x,'k','linewidth',2)
```

Using interp1

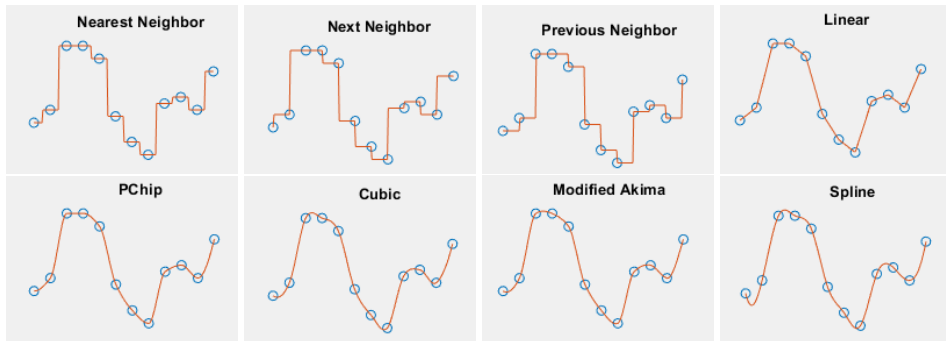
```
y_FOH_bis = interp1(t,x,t_OSR);

% Plots
figure
stem(t_OSR,y_FOH_bis)
hold on
stem(t,x,'k','linewidth',2)
```

You can also use `filtfilt` (but you have to use `h_ZOH`)

Additional comments

- `interp1` can be used for many different interpolation methods



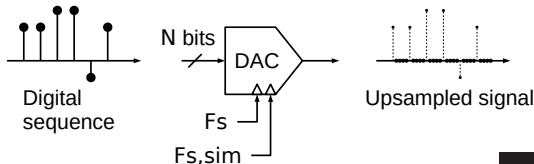
- 1-D data interpolation (table lookup) - MATLAB `interp1` - MathWorks
- Interpolating Gridded Data - MATLAB - MathWorks

Additional comments

- Implementing the ideal (low-pass) sinc interpolator is impossible.
 - Only time-limited versions can be realized on Matlab
- Sometimes, interpolation behaves badly from the spectral point of view (particularly for sine waveforms)
 - It is then preferable to regenerate the samples from the ideal sinewave if possible.
- We can implement with Matlab an *impulse* DAC (or *zero-padding* DAC, or *simple upsampling*)

Cf. Slides 21 and 22

```
% Upsample (zero padding)
y_upsample = zeros(length(x)*OSR,1);
y_upsample(1:OSR:end) = x;
```



Spectral analysis

Multi-rate signals ⚠

The rate of the DAC input sequence is different from the output rate. Display frequency vectors must be appropriately computed.

Raw FFT

```
% Simulation parameters
fsig    = 8.5e6;
Fs      = 30.72e6;
Fs_sim  = 16*Fs;
Tstop   = 5e-6;
t_in    = 0:1/Fs:Tstop; t_in = t_in(:);
t_sim   = 0:1/Fs_sim:t_in(end);
t_sim   = t_sim(:);
% Signal generation
x       = sin(2*pi*fsig*t_in);
Xlen    = length(x);
% Spectrum computation
X       = fft(x.*blackman(Xlen));
PSDx    = abs(X).^2;

% DAC processing
y       = interp1(t_in,x,t_sim); Ylen = length(y);
% Spectrum computation
Y       = fft(y.*blackman(Ylen)); PSDy = abs(Y).^2;
% Display frequency vectors
freq_disp_in = (0:(Xlen-1))/Xlen*Fs/1e6;
freq_disp_out = (0:(Ylen-1))/Ylen*Fs_sim/1e6;
% Plots
plot(freq_disp_in,10*log10(PSDx));xlim([0 Fs/2]/1e6)
xlabel('Freq (MHz)');ylabel('PSD (dB)')
figure
plot(freq_disp_out,10*log10(PSDy))
xlim([0 Fs_sim/2]/1e6)
xlabel('Freq (MHz)');ylabel('PSD (dB)')
```

Spectral analysis

FFT bins

- Bins must be computed for each sampling rate.
- It is better to place signal on a bin for spectral visualization.

Bin computation

```
% Signal bin for the FFT of the input
sig_bin_in = fix(fsig/Fs*Xlen)+1;
sig_bins_in = sig_bin_in + [-2:2]
```

```
% Visual check
plot(10*log10(PSDx))
xlim(sig_bin_in + [-10 10])
xticks(sig_bin_in + [-10:10])
grid on
```

```
% Signal bin for the FFT of the output
sig_bin_out = fix(fsig/Fs_sim*Ylen)+1;
sig_bins_out = sig_bin_out + [-2:2]
```

```
% Visual check
plot(10*log10(PSDy))
xlim(sig_bin_out + [-10 10])
xticks(sig_bin_out + [-10:10])
grid on
```



Section outline

Modeling hardware non-idealities

- Noise

- Nonlinear distortions

Noise

■ Gaussian noise

Implementation of Gaussian noise

```
Nsamples = 1e4; Ndraws = 15;
noise_g = 0.4*randn(Nsamples,Ndraws)+1;

% Visualization
plot(noise_g)

% Empirical distribution
[emp_freq,bin_centr] = hist(noise_g,100);
% Averaged histogram
avr_emp_freq = mean(emp_freq,2);

% Plot
figure
bar(bin_centr,avr_emp_freq)
xlabel('Value')
ylabel('Empirical frequency')
```

■ Uniform noise

Implementation of Uniform noise

```
Nsamples = 1e4; Ndraws = 15;
noise_u = 0.4*rand(Nsamples,Ndraws)+1;

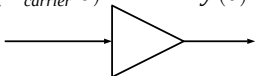
% Visualization
plot(noise_u)

% Empirical distribution
[emp_freq,bin_centr] = hist(noise_u,100);
% Averaged histogram
avr_emp_freq = mean(emp_freq,2);

% Plot
figure
bar(bin_centr,avr_emp_freq)
xlabel('Value') ; xlim(1.2+0.6*[-1 1])
ylabel('Empirical frequency')
```

Nonlinear distortions

- Let's consider the following system:

$$x(t) = \cos(\omega_{\text{carrier}} \cdot t) \quad \rightarrow \quad y(t) = x(t) - \frac{1}{3}x^3(t) + \frac{1}{5}x^5(t)$$


With

- $F_{\text{carrier}} \approx 1.3 \text{ GHz}$

- $T_{\text{Len},\text{sim}} \approx 50 \times T_{\text{carrier}}$

- $F_{S,\text{sim}} = 15 \text{ GHz}$

Implementation and bins computation

Illustration code

```
Fcarr_orig    = 1.3e9;
Tlensim_orig  = 50*1/Fcarr_orig;
FSsim         = 15e9;

% Compute final values
Tlensim = round(Tlensim_orig*FSsim)/FSsim;
Nlensim = Tlensim*FSsim;
Fcarr   = round(Fcarr_orig/FSsim*Nlensim)/Nlensim*FSsim;

t = 0:1/FSsim:(Nlensim-1)/FSsim; t = t(:);
x = cos(2*pi*Fcarr*t);
y = x -1/3*x.^3 + 1/5*x.^5;

Ypsd = abs(fft(y)).^2; % WINDOWING IS USELESS
bin_freq_val_shift = -(length(Ypsd)-1)/2:(length(Ypsd)-1)/2;
freq_val_shift     = bin_freq_val_shift/length(Ypsd)*FSsim;

plot(freq_val_shift/1e6,fftshift(10*log10(Ypsd)))
xlim([0 FSsim/2]/1e6)
xlabel('Freq (MHz)') ; ylabel('PSD (dB)')
```

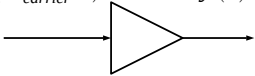
```
% Central bins computations
FS_N      = FSsim/Nlensim
bin_fund   = fix(Fcarr/FS_N)+1
bin_harm3  = fix(3*Fcarr/FS_N)+1
bin_harm5  = fix(5*Fcarr/FS_N)+1

% Visual check
plot(10*log10(Ypsd))
```

Try by yourself

Five minute trial: Single-tone in nonlinear system

Plot the output spectrum of the nonlinear system of Slide 29/30 **with windowing**.

$$x(t) = \cos(\omega_{\text{carrier}} \cdot t)$$

$$y(t) = x(t) - \frac{1}{3}x^3(t) + \frac{1}{5}x^5(t)$$

Solution

Illustration code

```
Fcarr_orig    = 1.3e9;
Tlensim_orig  = 50*1/Fcarr_orig;
FSsim         = 15e9;

% Compute final values
Tlensim = round(Tlensim_orig*FSsim)/FSsim;
Nlensim = Tlensim*FSsim;
Fcarr   = round(Fcarr_orig/FSsim*Nlensim)/Nlensim*FSsim;

t = 0:1/FSsim:(Nlensim-1)/FSsim; t = t(:);
x = cos(2*pi*Fcarr*t);
y = x -1/3*x.^3 + 1/5*x.^5;

Ypsd = abs(fft(y.*blackman(Nlensim))).^2;
bin_freq_val_shift = -(length(Ypsd)-1)/2:(length(Ypsd)-1)/2;
freq_val_shift     = bin_freq_val_shift/length(Ypsd)*FSsim;

plot(freq_val_shift/1e6,fftshift(10*log10(Ypsd)))
xlim([0 FSsim/2]/1e6)
xlabel('Freq (MHz)') ; ylabel('PSD (dB)')
```

```
% Central bins computations
FS_N      = FSsim/Nlensim
bin_fund   = fix(Fcarr/FS_N)+1
bin_harm3  = fix(3*Fcarr/FS_N)+1
bin_harm5  = fix(5*Fcarr/FS_N)+1

% Bins
bins_fund  = bin_fund + [-2:2]
bins_harm3 = bin_harm3 + [-2:2]
bins_harm5 = bin_harm5 + [-2:2]

% Visual check
plot(10*log10(Ypsd))
```


Practical issue: simulation sampling and nonlinear systems

In order to analyze a nonlinear system modeled as a

- 5th order polynomial, you should sample your system at
 $F_{S,sim} \gg 2 \times 5 \times F_{carrier}$
- 7th order polynomial, you should sample your system at
 $F_{S,sim} \gg 2 \times 7 \times F_{carrier}$
- ...



Section outline

Conclusion

Conclusion

■ DAC simulation

- (Quantization)
- Upsampling
- Interpolation (filtering)

■ Homework :

- [Homeworks text on \(C2S\) TELECOM201b website](#)
 - ⚠: please fix ADC code and implement DAC.
 - Deadline : 19th Jan. 2024